

SYBFM SEMESTER - IV

BUSINESS LAW

- 1) The Companies Act, 1956 Section I to section 390.
- 2) Securities Contracts (Regulation) Act, 1956.
- 3) Foreign Exchange Management Act, (FEMA) 1999.
- 4) Depositories Act. And Information Technology Act, 2000.
- 5) Securities and Exchange Board of India (SEBI) Guidelines 2000. (Limited up to Disclosure and Investor Protection)
- 6) Indian Contract Act, 1872.
- 7) Sale of Goods Act, 1930.
- 8) Negotiable Instrument Act.

SEMESTER - IV

COMMODITIES MARKET

UNIT I

INTRODUCTION TO COMMODITIES MARKET

- Emergence of Commodity Market
- Dynamics of global Commodity Markets
- Indian commodity markets – Current status and future prospects

UNIT II

STRENGTHENING COMMODITY MARKETS IN INDIA

- Role of Government
- Role of Commodity Exchanges
- Other Institutions
- Training and development of Dealers

UNIT III

COMMODITY EXCHANGES

- Functions and Role
- Trading and Clearing Methods
- Commodity futures
- Commodity Specific Exchanges Vs Multi Commodity Exchanges

UNIT IV

COMMODITY MARKET IN INDIA

- Commodity Exchanges in India
- Role of Information in Commodity Markets
- Linkages between equity markets and commodity markets
- Commodity markets – Logistics and Warehousing

SEMESTER - IV

CORPORATE FINANCE - II

UNIT I

ROLE OF FINANCIAL INSTITUTIONS

- In Long Term Finance (Fixed Capital)
- In Short Term Finance (Working Capital)

UNIT II

Controlling Mechanism of RBI in raising corporate finance

UNIT III

Understanding and Interpretation of Financial statements

UNIT IV

CONTROLLING THE CORPORATE FINANCIAL ACTIVITIES

- Capital Budgeting
- Corporate financial reporting – Transparency and Accuracy
- Maximizing the wealth of shareholders
- Corporate governance practices

Reference For Corporate Finance I & II

- 1) Corporate Finance (Principles of) Richard A, Brealey, Stewart C. Myers. Franklin Allen, McGraw Hill, 2005.
- 2) Corporate Finance Book Field, McGraw Hill, 2007
- 3) Corporate Finance, Ross, Irwin, 2006
- 4) Essential Corporate Finance Ross, McGraw Hill, 2001
- 5) Corporate Finance, Aswath Damodaran, John Wiley & Sons, 2001
- 6) The Corporate Finance Hand Book, Jonathan Reuvid Kogan Page 2002
- 7) Fundamentals of Corporate Finance Ross, McGraw Hill, 2002
- 8) Corporate Finance, Theory and Practices, A. Damodaran, John Wiley & Sons, Inc. 1997
- 9) Corporate Finance S.C. Kucchal
- 10) Corporate Finance I.M. Pandey
- 11) Corporate Finance – Prasanna Chandra

SEMESTER - IV

EQUITY MARKETS - II

UNIT I

DEVELOPMENTS IN THE INDIAN EQUITY MARKET

- Domestic savings and investments
- Disinvestments FDI and foreign Institution Investment
- Role of Retail Investors
- Share price and share price volatility
- Role of SEBI

UNIT II

SECURITY ANALYSIS AND VALUATION OF SECURITIES

- Factors affecting share prices
- Fundamental analysis in detail
- Technical Analysis in detail
- Macro Economic factors
- Market related factors

UNIT III

STATISTICAL ANALYSIS OF SHARE PRICE MOVEMENT

- Efficient market hypothesis
- Stochastic models
- Brownian Motion

UNIT IV

DEALINGS IN STOCK EXCHANGES

- Role of Brokers
- Stock market quotations
- Procedure for buying and selling
- On Line Trading
- Clearing and Settlement

SEMESTER - IV

FINANCIAL SERVICES

UNIT I

INTRODUCTION TO FINANCIAL SYSTEM

- Financial System – An Overview
- Indian Financial System
- Global Financial System
- Financial Service – An Overview

UNIT II

FINANCIAL SERVICE SEGMENT

- Book Building
- Public Issue Management
- Merchant Banking
- Credit Rating
- Insurance Services
- Mutual Funds
- Venture Capital – Conceptual Framework

UNIT III

FINANCIAL MARKET - I

- Financial market – An Overview
- Money Market
- Capital Market – An Overview
- Capital Market Instruments

UNIT IV

FINANCIAL MARKET - II

- New Issues market (NIM)- Conceptual Framework
- Debt Market
- Foreign Exchange Markets
- Derivatives Markets
- Stock Exchange Trading
- Stock Exchange – Regulatory Framework
- SEBI – Function and Working

SEMESTER - IV

SECURITY ANALYSIS

UNIT I

INTRODUCTION TO SECURITY ANALYSIS

- Understanding Investments
- Investment Alternatives
- Securities Markets and Price Behavior

UNIT II

PORTFOLIO MANAGEMENT

- Risk and Returns from Investing
- Portfolio Selection and Management
- Portfolio performance evaluation

UNIT III

FUNDAMENTAL ANALYSIS

- Economic and Industry Analysis
- Company Analysis
- Stock Valuation

UNIT IV

TECHNICAL ANALYSIS

- Basic Premise
- Stock Price and Volume Theories
- Fundamental Analysis v/s Technical Analysis

SYBFM - SEMESTER - IV

TAXATION FOR INVESTMENTS (Accounts)

1) Definitions :

Person, Income, Assessee, Assessment Year, Previous Year, Capital Asset, Transfer

2) Basis of Charge (Sec 3 to 9)

Residential Status, Scope of Total Income, Deemed Income

3) Exclusions from Total Income Sec. 10

Agricultural Income, Sums Received from HUF by members, Share of Profits from Firm, Insurance Maturity Proceeds, Income of Minor Child

4) Income from House Property

Sec.22 to 27 & Sec.2 – Annual Value

5) Income from Capital gains

Sec.45, 48, 49, 50, 50 (C), 55

6) Income from Other Sources

Sec.56 to 59

7) Deduction under Chapter VI A

Sec.80 (C), 80 CCC, 80D

8) Securities Transaction Tax

Basic Definition, Charge